| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/US2004/37152 O4 November 2004 O4 November 2003 TITLE OF INVENTION ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES AND METHODS FOR THEIR USE | | | | | | | | |
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| CONCERNING A FILING UNDER 35 U.S.C. 371 10/577,390 INTERNATIONAL APPLICATION NO. PCT/US2004/37152 04 November 2004 O4 November 2003 TITLE OF INVENTION ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES AND METHODS FOR THEIR USE | | | | | | | | |
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| ANTAGONIST ANTI-CD40 MONOCLONAL ANTIBODIES AND METHODS FOR THEIR USE | | | | | | | | |
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| ADDLICANIT(S) FOR DOJECTIS | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | | |
| Long et al. | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | |
| This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| This is an express request to begin national examination procedures (35 U.S.C. 371(f)). This submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| The US has been elected (Article 31). | | | | | | | | |
| 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| b. has been communicated by the International Bureau. | | | | | | | | |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| A English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). | | | | | | | | |
| a. is attached hereto. | | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| b. | | | | | | | | |
| d. have not been made and will not be made. | | | | | | | | |
| An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 | | | | | | | | |
| U.S.C. 371(c)(5)). Items 11. To 20. below concern document(s) or information included: | | | | | | | | |
| An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98. | | | | | | | | |
| An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| A FIRST preliminary amendment. | | | | | | | | |
| A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | |
| An Application Data Sheet under 37 CFR 1.76 | | | | | | | | |
| An Application Data Sheet under 37 CFR 1.76 A substitute specification. | | | | | | | | |
| A substitute specification. A power of attorney and/or change of address letter. | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| | | | | | | | | |

| U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) 10/578,590 | | | INTERNATIONAL APPLICATION NO. PCT/US2004/036958 | | | ATTORNEY'S DOCKET NUMBER 035784/311260 | | |
|--|------------------|---|--|---|--|---|--------------|--|
| ☐ The following fees have been submitted: | | | | , | | Calculations | PTO USE ONLY | |
| | fee (37 CFR 1.49 | | | | | | | |
| 22. Examination fee (37 CFR 1.492 (c)) | | | | | | | | |
| (If the written opinion prepared by ISA/US or the international preliminary examination report | | | | | | | | |
| prepared by the IPEA/US indicates all claims satisfy provisions of PCT Article 33(1-4) | | | | | | | | |
| All other situations | | | | | | | | |
| 23. Search fee (37 CFR 1.492(b)) | | | | | | | | |
| If the written opinion of the 1SA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(I)-(4) \$ 0 | | | | | | | | |
| Search fee (37 CFR I.445(a)(2)) has been paid on the international application | | | | | | | | |
| to the USPTO as an International Searching Authority | | | | | | | | |
| International Search Report prepared by an ISA other than the US and provided to the Office | | | | | | | | |
| or previously communicated to the US by the IB\$400 | | | | | | | | |
| All other situations | | | | | | | | |
| TOTAL OF 21, 22 and 23 = | | | | | | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding | | | | | | | | |
| sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing filed in an electronic medium) (37 CFR 1.492(j)) | | | | | | | | |
| The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | |
| Total Sheets | | Number of each additional 50 or fraction Rate | | | | | | |
| 100 | | thereof (rour | nd up to a whole r | number) | | | | |
| - 100 = /50= x \$250 | | | | | | | | |
| Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later the date of commencement of the national stage (37 CFR 1.492(h)). \$130.00 | | | | | | | | |
| CLAIMS NUMBER FILED NUMBER EXTRA RATE | | | | | | | P**** | |
| Total Claims 0 -20 = 0 X \$ 50.00 Independent Claims 0 - 3 = 0 X \$200.00 | | | | | | | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00 | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | | \$ 130.00 | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are | | | | | | | | |
| reduced by 1/2. | | | | | | | | |
| SUBTOTAL = | | | | | | \$ 130.00 | | |
| Processing fee of \$130.00 for fumishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | | | | |
| TOTAL NATIONAL FEE = \$ 130.00 | | | | | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be | | | | | | | | |
| accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = | | | | | | \$ 130.00 | | |
| TOTAL FEES ENGLOSED - | | | | | | Amount to be | | |
| | | | | | | Refunded | \$ | |
| | | | | | | Amount to be | \$ | |
| a. A check in the amount of \$ to cover the above fees is enclosed. | | | | | | | | |
| a. A check in the amount of \$\infty\$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. 16-0605 in the amount of \$\\$130.00 to cover the above fees. | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0605. | | | | | | | | |
| Note: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore | | | | | | | | |
| the application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | | | |
| Leslie T. Henry | | | | | | | | |
| herlie T. Henry | | | | | | | | |
| SIGNATURE | | | | | | | | |
| REGISTRATION NUMBER 45,714 ALSTON & BIRD LLP | | | | 1 | ELECTRONICALLY FILED USING THE EFS-WEB | | | |
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| 101 South Tryon Street, Suite 4000 | | | | S17 | STATES PATENT & TRADEMARK OFFICE ON | | | |
| Charlotte, NC 28280-4000 | | | | | | MAY 29, 2007. | | |
| Tel Raleigh Office (91 | 9) 862-2200 | | | | | | | |
| Fax Raleigh Office (9) | | | | | | | | |
| Customer Number 00826 | | | | | | | | |